

**P.G. DIPLOMA IN FINANCIAL MARKETS
PRACTICE**

Term-End Examination

December, 2011

MFP-1 : EQUITY MARKETS

Time : 3 hours

Maximum Marks : 100

Note : *Attempt any five questions.*

All questions carry equal marks.

1. How are risk and return related ? Discuss with suitable examples the different types of risks in the financial system.
2. Identify and explain the different intermediaries that are involved in the process of settlement of securities.
3. Explain the main features of Primary Markets in India. Bring out various factors that affect the growth and performance of the primary markets.
4. Why do business organisations raise capital from International Markets ? Explain the different methods of raising equity capital from these markets.

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5. How are orders matched ? Explain the different types of order execution in a stock exchange and describe the process involved therein.
 6. What is a 'Depository' ? What are its benefits ? Discuss the entities involved in the depository process.
 7. Discuss briefly any four popular charting techniques of Technical Analysis.
 8. Discuss with examples the adjustment process for the following corporate actions.
 - (a) Dividend
 - (b) Merger
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